



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Patent Application of:)
Inventor(s) : Douglas J. Hauck, DDS)
Serial No. : 10/601,137)
Filing Date : 06/20/2003)
For : ORAL DISEASE PREVENTION AND)
TREATMENT)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)(3)

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted with Disclosure Form PTO/SB/08A. The references disclosed are submitted in compliance with the duty of disclosure as defined in 37 CFR § 1.56. The Examiner is requested to consider and make the references of official record in the Application.

The submission of the above-indicated references is not to be construed as a representation that an exhaustive search has been made, that additional information material to the examination of this Application does not exist, or that the references, either alone or in combination with other references, constitute prior art under 35 U.S.C. § 102 or § 103.

Respectfully Submitted,


Douglas J. Hauck, DDS

8920 Wilshire Boulevard
Suite 625
Beverly Hills, CA 90211
(310) 659-5399



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/601,137
Filing Date	06/20/2003
First Named Inventor	Douglas J. Hauck
Art Unit	
Examiner Name	
Attorney Docket Number	

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,454,110	06/12/1984	Cáslavsk/ et al	
		US- 4,523,910	06/18/1985	Makovich	
		US- 4,632,824	12/30/1986	Hirota et al	
		US- 4,685,883	08/11/1987	Jernberg	
		US- 4,732,617	03/22/1988	Causton et al	
		US- 4,978,391	12/18/1990	Jones	
		US- 5,074,786	12/24/1991	Woodward	
		US- 5,137,449	08/11/1992	Goldin et al	
		US- 5,194,003	03/16/1993	Garay et al	
		US- 5,401,495	03/28/1995	Murayama	
		US- 5,472,685	12/05/1995	Gaffar	
		US- 5,558,701	09/24/1996	Patel	
		US- 5,993,413	11/30/1999	Aaltonen et al	
		US- 6,030,213	02/29/2000	Trop	
		US- 6,036,493	03/14/2000	Sharma	
		US- 6,080,391	06/27/2000	Tsuchiya et al	
		US- 6,096,328	08/01/2000	Sagel et al	
		US- 6,244,871	06/12/2001	Litkowski et al	
		US- 6,247,930	06/19/2001	Chiang et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2**Complete if Known**

Application Number	10/601,137
Filing Date	06/20/2003
First Named Inventor	Douglas J. Hauck
Art Unit	
Examiner Name	
Attorney Docket Number	

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,257,239	07/10/2001	Kittelsen et al	
		US- 6,322,774	11/27/2001	Jensen et al	
		US- 6,326,022	12/04/2001	Katz	
		US- 6,343,932	02/05/2002	Wiesel	
		US- 6,409,993	06/25/2002	Jensen et al	
		US- 6,413,500	07/02/2002	Thorwaldson	
		US- 6,458,340	10/01/2002	Ibsen et al	
		US- 6,485,709	11/26/2002	Banerjee et al	
		US- 6,500,408	12/31/2002	Chen	
		US- 6,506,366	01/14/2003	Leinen et al	
		US- 6,514,484	02/04/2003	Rajaiah et al	
		US- 6,537,563	03/25/2003	Jia et al	
		US- 6,551,579	04/22/2003	Sagel et al	
		US- Re. 31,954	07/23/1985	Fine et al	
		US- 6,553,996	04/29/2003	Kittelsen et al	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 2000-136119	05/16/2000	Oyamada et al		
		JP 03-048622	03/01/1991	Dills et al		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.